

PD 01W080
PATENT

**OPTICALLY TRANSPARENT
MILLIMETER WAVE REFLECTOR**

40044631.01.0012

D. D. Crouch
W. E. Dolash

**OPTICALLY TRANSPARENT
MILLIMETER WAVE REFLECTOR**

5

BACKGROUND OF THE INVENTION

TECHNICAL FIELD

10 Field of the Invention:

The present invention relates to optical and millimeter-wave systems. More specifically, the present invention relates to devices used to reflect millimeter-wave frequencies and transmit optical frequencies.

15 Description of the Related Art:

High-power millimeter-wave systems sometimes require the placement of lasers and/or cameras in the path of the millimeter-wave beam. In order to prevent 20 damage to the equipment, a shield needs to be placed in the path of the beam. The shield needs to be almost totally reflective at millimeter-wave frequencies and transparent at optical frequencies.

In material processing applications, for instance, millimeter-waves may be used inside a reaction chamber to fabricate a synthetic substance. It may be necessary 25 or desirable to place a window in the chamber in order to observe the reaction taking place within. This window needs to transmit optical frequencies without distorting them, while blocking transmission of the millimeter-waves.

Previous attempts to solve this problem have used either metal meshes or 30 absorptive water-filled windows. Metal meshes are effective at reflecting nearly all of the incident radiation, but they are only marginally transparent at optical frequencies.

While the performance of absorptive water-filled windows is superior to that of metal meshes, they are subject to several problems. First, they may be prone to leaks after extended use. In addition, the perception exists among users that an incident millimeter-wave beam of sufficient intensity could cause the water to boil,

5 which could lead to catastrophic failure of the window. Finally, it has been observed experimentally that when an absorptive water window is radiated by a high-power millimeter-wave beam, the absorbed power initiates convection currents in the water that scatters incident light, degrading the images captured by a camera behind the window.

10 Hence, a need exists in the art for a system or method for reflecting millimeter-wave frequencies and transmitting optical frequencies without distorting the optical frequencies.

15 **SUMMARY OF THE INVENTION**

The need in the art is addressed by the present invention, an optically transparent dielectric reflector that reflects an incident millimeter-wave beam at a design frequency. This behavior is achieved by constructing the reflector from layers of different optically transparent dielectric materials and choosing the thickness of the individual layers so that the transmitted waves cancel almost completely in the forward direction, yielding a high degree of transmission loss and a high (e.g., nearly 100%) reflection.

20 In the preferred embodiment, the invention is comprised of alternating layers of optical sapphire and air. In the best mode, there are seven sapphire layers, with outer layers having a nominal thickness of 70.8 mils, inner sapphire layers with a nominal thickness of 30.4 mils, and air layers have a nominal thickness of 32.0 mils. Vented metal spacers are used to maintain optimal thickness of air layers.

25 Unlike the absorptive water-filled windows of the prior art, the invention

30

reflects, rather than absorbs, an incident millimeter-wave beam, while transmitting incident optical radiation. Because no liquids are involved, the possibility of leakage is eliminated. Since the incident millimeter-wave energy is reflected rather than absorbed, the possibility of heat-induced damage or failure is greatly reduced. Finally, the quality 5 of the optical images captured by a camera behind an optically-transparent millimeter-wave reflector is expected to be superior since there are no convection currents present to scatter the incident light.

BRIEF DESCRIPTION OF THE DRAWINGS

10

Fig. 1a is a diagram showing TE waves incident on a dielectric boundary.

Fig. 1b is a diagram showing TM waves incident on a dielectric boundary.

Fig. 2 is a diagram of an optically transparent millimeter wave reflector designed in accordance with the teachings of the present invention.

15

Fig. 3 is a graph showing the sensitivity of the transmission coefficient to variations in plate and gap dimensions.

Fig. 4 is a graph showing the variation of the transmission coefficient with respect to polarization angle.

20

Fig. 5 is an exploded view of a prototype reflector designed in accordance with the teachings of the present invention.

Fig. 6 is a detailed view of a circular vented metal spacer designed in accordance with the teachings of the present invention.

Fig. 7 is a detailed view of the interior of the reflector housing designed in accordance with the teachings of the present invention.

25

Fig. 8 is a front view of the assembled reflector designed in accordance with the teachings of the present invention.

Fig. 9 is a rear view of the assembled reflector designed in accordance with the teachings of the present invention.

DESCRIPTION OF THE INVENTION

5

Illustrative embodiments and exemplary applications will now be described with reference to the accompanying drawings to disclose the advantageous teachings of the present invention.

While the present invention is described herein with reference to illustrative embodiments for particular applications, it should be understood that the invention is not limited thereto. Those having ordinary skill in the art and access to the teachings provided herein will recognize additional modifications, applications, and embodiments within the scope thereof and additional fields in which the present invention would be of significant utility.

15 The present invention is an optically transparent dielectric reflector that in an illustrative embodiment may reflect nearly 100% of an incident millimeter-wave beam at the design frequency. This behavior is achieved by constructing the reflector from alternating layers of different optically transparent dielectric materials, choosing the thickness of the individual layers so that the transmitted waves cancel almost completely 20 in the forward direction, yielding a high degree of transmission loss and nearly 100% reflection.

Unlike the absorptive water-filled windows of the prior art, the invention reflects, rather than absorbs, an incident millimeter-wave beam, while transmitting incident optical radiation. Because no liquids are involved, the possibility of leakage is 25 eliminated. Since the incident millimeter-wave energy is reflected rather than absorbed, the possibility of heat-induced damage or failure is greatly reduced. Finally, the quality of the optical images captured by a camera behind an optically-transparent millimeter-wave reflector is expected to be superior since there are no convection currents present to scatter the incident light.

30 To understand how such a reflector can be constructed, first consider a plane

wave incident at an oblique angle on an interface between two dielectric materials. When the polarization of the plane wave is taken into account, there are two different physical scenarios that must be considered. If the electric field of the plane wave is parallel to the interface, as illustrated in Fig. 1a, the incident wave is said to be a transverse electric, or TE wave. On the other hand, if the magnetic field of the incident wave is parallel to the interface, as illustrated in Fig. 1b, the wave is said to be a transverse magnetic, or TM wave. Note that an arbitrarily polarized plane wave can be represented as a superposition of a TE and a TM wave.

For an incident plane wave (TE or TM), the relationship between the incident, reflected, and transmitted waves can be cast in the form of a transmission matrix, which relates the incident and reflected waves on the left side of the boundary to those on the right. This matrix relationship takes the form:

$$\begin{bmatrix} E_{L_1} \\ E_{L_2} \end{bmatrix} = \begin{bmatrix} T_{11}^{TE,TM} & T_{12}^{TE,TM} \\ T_{21}^{TE,TM} & T_{22}^{TE,TM} \end{bmatrix} \begin{bmatrix} E_{R_1} \\ E_{R_2} \end{bmatrix}, \quad [1]$$

15

where E_{L_1} and E_{L_2} are the incident and reflected waves to the left of the boundary, respectively, and E_{R_1} and E_{R_2} are the transmitted and incident waves to the right of the boundary, as illustrated in Fig. 1.

20

For the TE case, the elements of the transmission matrix are given by:

$$T_{11}^{TE} = T_{22}^{TE} = \frac{1}{2} \left(1 + \frac{\eta_L \cos \theta_R}{\eta_R \cos \theta_L} \right), \quad [2]$$

$$T_{12}^{TE} = T_{21}^{TE} = \frac{1}{2} \left(1 - \frac{\eta_L \cos \theta_R}{\eta_R \cos \theta_L} \right), \quad [3]$$

25 and for the TM case, the elements of the transmission matrix are given by:

$$T_{11}^{TM} = T_{22}^{TM} = \frac{1}{2} \left(\frac{\eta_L}{\eta_R} + \frac{\cos \theta_R}{\cos \theta_L} \right), \quad [4]$$

$$T_{12}^{TM} = T_{21}^{TM} = -\frac{1}{2} \left(\frac{\eta_L}{\eta_R} - \frac{\cos \theta_R}{\cos \theta_L} \right). \quad [5]$$

5 Here θ_R and θ_L are the angles made by the incident and reflected waves with the direction normal to the dielectric boundary on the right and left sides of the dielectric boundary, respectively, and η_R and η_L are the characteristic impedances of the corresponding materials.

10 In addition to the transmission matrix for a dielectric interface, the transmission matrix describing propagation of a plane wave through a uniform dielectric slab is also required. The appropriate transmission matrix for either a TE or a TM wave propagating at an angle θ_R with respect to the z axis through a material having an index of refraction n is given by:

$$15 \quad \begin{bmatrix} E_{L_1} \\ E_{L_2} \end{bmatrix} = \begin{bmatrix} \exp(jk_0nd \cos \theta_R) & 0 \\ 0 & \exp(-jk_0nd \cos \theta_R) \end{bmatrix} \begin{bmatrix} E_{R_1} \\ E_{R_2} \end{bmatrix}. \quad [6]$$

Here $k_0 = 2\pi/\lambda_0$, where λ_0 is the free space wavelength of the incident plane wave, and d is the thickness of the slab of material.

20 The angle θ_R can be related to θ_L via Snell's law of refraction, i.e.:

$$n_L \sin \theta_L = n_R \sin \theta_R. \quad [7]$$

25 The advantage of the transmission matrix formulation is that the reflection and transmission coefficients for composite structures composed of multiple dielectric layers can be calculated easily simply by multiplying in sequence the transmission matrices for the individual layers. In general, the reflection and transmission

coefficients of an m -layer structure constructed from dielectric slabs of different materials each having a different thickness can be calculated in the following manner.

One starts at the left-most boundary, where the incident plane wave encounters the first dielectric interface. At this interface, $\theta_L = \theta_{\text{inc}}$, where θ_{inc} is the angle made by 5 the incident plane wave with the z axis. Given the value of θ_L , the angle θ_R at which plane waves propagating to the left and right in the material to the right of the boundary can be calculated. The transmission matrices for the first boundary and for propagation through the first dielectric layer can then be calculated.

By repeated application of Snell's law, the value of θ_R in each succeeding 10 layer can be calculated given the value of θ_L in the preceding layer. In this way, transmission matrices for each element of a composite structure can be calculated. The transmission matrix of the composite structure is then obtained as a matrix product of the individual transmission matrices. If the transmission matrix of the first dielectric interface is denoted by T_{1a} , that of the first slab by P_1 , and that of the second 15 dielectric interface by T_{1b} , then the transmission matrix of the composite single-layer structure is given by:

$$T_1 = T_{1a} \times P_1 \times T_{1b}. \quad [8]$$

20 Consider a structure composed of m layers of a particular dielectric material, with each layer separated from the next by a gap that may be filled with air or with some other dielectric material. If there are m layers, there will be $m-1$ gaps. If the transmission matrices of the individual layers are denoted by T_1, T_2, \dots, T_m , and the transmission matrices of the gaps by G_1, G_2, \dots, G_{m-1} , then the transmission matrix of 25 the composite structure is:

Should be an ellipsis, i.e., "G₂ ... T_{m-1}"

$$T = T_1 \times G_1 \times T_2 \times G_2 \times T_3 \times G_3 \times \dots \times T_{m-1} \times G_{m-1} \times T_m, \quad \text{DDC} \quad [9]$$

where the transmission matrix of the k^{th} dielectric layer is given by:

$$T_k = T_{ka} \times P_k \times T_{kb}. \quad [10]$$

Assuming that a plane wave is incident only from the left, the relationship
 5 between the incident wave and the waves reflected and transmitted by the composite
 structure is given by:

$$\begin{bmatrix} E_{inc} \\ E_{ref} \end{bmatrix} = \begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \begin{bmatrix} E_{trans} \\ 0 \end{bmatrix}. \quad [11]$$

One can easily show that the power reflection and transmission coefficients R
 and T of the composite structure are given in terms of the elements of the transmission
 matrix by:

$$R = \left| \frac{E_{ref}}{E_{inc}} \right|^2 = \left| \frac{T_{21}}{T_{11}} \right|^2, \quad [12]$$

10

$$T = \left| \frac{E_{trans}}{E_{inc}} \right|^2 = \left| \frac{1}{T_{11}} \right|^2. \quad [13]$$

The intent here is to develop a multi-layer structure that will reflect nearly all
 of the incident radiation at a particular millimeter-wave frequency while allowing
 15 light to pass. That is, to minimize the transmission coefficient T of the composite
 structure.

To minimize the cost and complexity of the final structure, it is desirable to
 minimize the total number of layers. The number of layers is a function of the degree
 to which the transmitted waves are to be attenuated and of the dielectric constants of
 20 the materials to be used. To minimize the number of layers, the difference in
 dielectric constants between neighboring layers should be as high as possible in order

to maximize the reflection coefficient at each dielectric interface. By separating successive dielectric layers by air gaps, the maximum possible contrast in dielectric constant is obtained.

The choice of dielectric material is constrained by the requirements that it be
5 optically transparent and have a low loss tangent at millimeter-wave frequencies. Optical sapphire (single-crystal Al_2O_3) is one possible choice, as it has a relatively high dielectric constant of 9.41 for zero-cut material (in which the optic axis is perpendicular to the surface of the material) and a low loss tangent of 8×10^{-4} at 95 GHz. In addition, it is extremely hard and is resistant to common acids and alkalis,
10 making it suitable for use in harsh environments.

The transmission matrices described above were used to design a reflector for use with plane waves incident at an angle of 13.5°. The final design is required to attenuate transmitted TE and TM waves by approximately 60 dB. It was determined that seven layers of sapphire separated by air gaps could meet this requirement.

15 Fig. 2 is a diagram of an optically transparent millimeter wave reflector 100 designed in accordance with the teachings of the present invention. In the illustrative embodiment, the reflector 100 is comprised of seven sapphire plates (10, 12, 14, 16, 18, 20, 22) separated by air gaps (30, 32, 34, 36, 38, 40). The dimensions of the sapphire layers and the air gaps separating them are as follows:

20

$$\begin{aligned} L_1 &= L_7 = 70.8 \pm 0.4 \text{ mils,} \\ L_2 &= L_3 = L_4 = L_5 = L_6 = 30.4 \pm 0.3 \text{ mils,} \\ d_1 &= d_2 = d_3 = d_4 = d_5 = d_6 = 32.0 \pm 0.5 \text{ mils,} \end{aligned}$$

25 where L_i is the width of the i -th sapphire plate, and d_j is the width of the j -th air gap.

As the outermost plates will be the only plates directly exposed to the environment, they are made thicker than the inner plates (plates 2 through 6) in order to provide them with greater mechanical strength. The tolerances of ± 0.4 mils on L_1 and L_7 and ± 0.3 mils on L_2 through L_6 are not performance driven. That is, the
30 reflector will still work with only a slight degradation in performance if the tolerances

are relaxed somewhat, as the performance of the reflector is not overly sensitive to the dimensions of the sapphire plates or to the dimensions of the gaps, as shown in Fig. 2.

Fig. 3 is a graph showing the sensitivity of the transmission coefficient to variations in plate and gap dimensions. The figure plots the transmission coefficient for five cases each for incident TE and TM waves in which the dimensions of each plate and each gap were allowed to vary randomly from case to case. The maximum allowed excursion from the nominal design value is 0.5 mils for each plate and 1 mil for each gap. In each case and for each dimension, the excursion is a uniformly distributed random number whose absolute value is less than or equal to the maximum allowed excursion. It is clear that such tolerances, which are easily achievable in practice, have little impact on the performance of the reflector.

As mentioned earlier, an arbitrarily polarized incident wave can be represented as a superposition of a TE and a TM wave incident at the same angle. If the angle of incidence is θ_{inc} and the projection of the electric field on the xy plane (see Fig. 1) makes an angle ϕ_{pol} with respect to the x axis, then the transmission coefficient can be expressed in terms of the transmission coefficients of the component TE and TM waves as

$$T = T^{TM} \cos \phi_{pol} + T^{TE} \sin \phi_{pol}. \quad [14]$$

Note that $\phi_{pol} = 0^\circ$ if the incident wave is a TM wave and $\phi_{pol} = 90^\circ$ if the incident wave is a TE wave.

Fig. 4 is a graph showing the variation of the transmission coefficient with respect to polarization angle. One sees that as the polarization angle varies, the TE and TM contributions interfere constructively and then destructively. The transmission coefficient reaches a maximum value of -58.78 dB when $\phi_{pol} = 35^\circ$ and 215° and a minimum value of -108.25 dB when $\phi_{pol} = 125.0^\circ$ and 305.0° .

Fig. 5 is an exploded view of a prototype reflector 200 designed in accordance with the teachings of the present invention. Two reflector assemblies of identical

design are housed inside a hermetically sealed housing 60 with a front cover 61. O-ring seals 56 between the outermost sapphire plates 50 and the aluminum housing 60 and between the aluminum housing 60 and the front cover 61 prevent contaminants from entering from the outside. Vented metal spacers 54 maintain optimal spacing 5 between neighboring plates (50, 52). A T and filler valve 72 and a pressure gauge 70 are attached to a gas fill port 84 (shown in Fig. 7) in the reflector housing 60, and a cutoff exhaust valve 74 is attached to an exhaust port 86 (shown in Fig. 7) in the reflector housing 60.

Fig. 6 is a detailed view of a circular vented metal spacer 54. The vents 62 10 allow gaseous contaminants to be displaced by dry nitrogen, with which the reflector assembly is filled during the sealing process. Of particular concern is water vapor, which if allowed to remain in the reflector could condense on the surfaces of the sapphire plates, obscuring the view through the reflector.

Fig. 7 is an interior view of the reflector housing 60, showing the gas fill port 15 84 and the exhaust port 86. Baffles 90 direct the flow of gas, preventing it from taking the path of least resistance (from the fill port 84 to the exhaust port 86), and forcing it to flow across the window surfaces, sweeping any contaminants out of the interior during the gas fill process.

Fig. 8 is a front view of the assembled reflector 200 showing the first and 20 second reflectors (80, 82) inside a sealed housing 60 with a front cover 61. Fig. 9 shows a rear view of the assembled reflector 200. Both figures show the T and filler valve 72 and the pressure gauge 70 attached to the gas fill port 84 (shown in Fig. 7), and the cutoff exhaust valve 74 attached to the gas exhaust port (shown in Fig. 7).

After the reflector 200 has been back-filled with dry nitrogen to a pressure of 1 25 psia, the valves attached to each port are closed. The pressure gauge 70 attached to the gas fill port 84 allows the gas pressure to be monitored during use. If the pressure falls below 0.25 psia, the gas supply should be refreshed and the pressure restored to its nominal value.

Thus, the present invention has been described herein with reference to a 30 particular embodiment for a particular application. Those having ordinary skill in the

art and access to the present teachings will recognize additional modifications, applications and embodiments within the scope thereof.

It is therefore intended by the appended claims to cover any and all such applications, modifications and embodiments within the scope of the present invention.

5 Accordingly,

WHAT IS CLAIMED IS: